

## ARM Member Firms as of February 2020:

A.D. Banker & Company
Allianz Global Investors Distributors LLC
Ameriprise Financial Inc.
AXA Advisors/Equitable Life
Bank of America Merrill Lynch
Bank of New York Mellon
Barclays
BlackRock
BLACKSTONE
Cantor Fitzgerald
Capital Group / American Funds
Cetera Financial Group
Charles Schwab & Co., Inc.
Citigroup
Citizens Capital Markets Inc.
Commonwealth Financial Network
CREDIT SUISSE SECURITIES USA LLC
Daiwa Capital Markets
Deutsche Bank Securities Inc.
Dimensional Fund Advisors
E*TRADE Financial Corporation
Edward Jones
Exchange Analytics Inc.
Foreside Financial Group
Guggenheim Securities, LLC
ING Financial Markets LLC
J.P. Morgan Securities LLC
Jane Street
Janney Montgomery Scott LLC
Jefferies LLC
Key Investment Services LLC
KeyBanc
Knopman Marks Financial Training
Legg Mason Investor Services, LLC
Lincoln Investment
Lockton Companies
MassMutual
Morgan Stanley
Nationwide
NatWest Markets Securities Inc.
Neuberger Berman BC LLC
New York Life Insurance Company
Nomura Securities International
Northwestern Mutual
Nuveen Securities
OPPENHEIMER & CO. INC.
Piper Jaffray & Co.
PJT Partners L.P

Primerica
Prudential Insurance Company of America, Inc.
Quasar Distributors, LLC
Raymond James & Associates, Inc.
RBC Capital Markets, LLC
RegEd, Inc.
Robert W. Baird
Scotia Capital (USA) Inc.
SDDco Regulatory Services LLC
Securities Training Corporation
SG Americas Securities, LLC
Sigma Financial Corporation and Parkland Securities
SMBC NIKKO SECURITIES AMERICA, INC.
State Street Global Advisors Funds Distributors, LLC
Stephens Inc.
Stifel Nicolaus
Sunset Financial Services, Inc.
T. Rowe Price
TD Ameritrade
TD Securities (USA) LLC
The Huntington Investment Company
Thrivent
TIAA
UBS Investment Bank
United Planners
Valmark Financial Group
Waddell & Reed
Wells Fargo Advisors
Wells Fargo Securities, LLC