

ARM MEMBERSHIP LIST
AS OF 9-17-2020

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| A.D. Banker & Company |
| American Funds Distributors, Inc. |
| Ameriprise Financial |
| Bank of America-Merrill Lynch |
| Barclays |
| BNP Paribas |
| BNY Mellon |
| Cantor Fitzgerald & Co. |
| Cetera Financial Group |
| Citigroup |
| Commonwealth Financial Network |
| Credit Suisse |
| Deutsche Bank Securities Inc. |
| Dimensional Fund Advisors |
| E*TRADE |
| Edward Jones |
| Equitable Advisors, LLC |
| Exchange Analytics Inc. |
| Foreside Financial Group |
| Franklin Templeton |
| Guggenheim Securities, LLC |
| ING Financial Markets LLC |
| Jane Street |
| Janney Montgomery Scott LLC |
| JEFFERIES LLC |
| Key Investment Services LLC |
| Knopman Marks Financial Training |
| Lincoln Investment |
| Macquarie Group |
| Mesirow Financial |
| MML Investors Services, LLC |
| Nationwide Financial |
| NatWest Markets Securities Inc. |
| Neuberger Berman |
| New York Life Insurance Company |
| Nomura Securities International |
| OPPENHEIMER & CO. INC. |
| PFS Investments Inc |
| PJT Partners L.P. |
| Prudential |
| Raymond James |
| RBC |
| RegEd, Inc. |
| Robert W. Baird & Co., Inc. |
| Scotia Capital (USA) Inc. |
| SDDco Regulatory Services LLC |
| SG Americas Securities, LLC |
| Sigma Financial Corporation / Parkland Securities |
| SMBC NIKKO SECURITIES AMERICA, INC. |
| State Street Global Advisors Funds Distributors, LLC |

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| Stifel Nicolaus |
| Sunset Financial Services, Inc. |
| T. Rowe Price |
| TD Ameritrade |
| TD Securities (USA) LLC |
| The Huntington Investment Company |
| Thrivent |
| TIAA |
| UBS Investment Bank |
| Waddell & Reed |
| Wells Fargo Clearing Services |
| Wells Fargo Securities, LLC |