

ARM Member List 2017-18

Firm
A. D. Banker & Company
Accuity/NRS (V)
American Funds- Capital Group Co.
Ameriprise Financial
AXA Equitable
Bank of America/Merrill Lynch
Bank of New York Mellon
Barclays Capital Inc.
Barclays Capital Inc.
BlackRock, Inc.
BNP Paribas Securities Corp
Cantor Fitzgerald & Co
Citigroup
Citizens Capital Markets, Inc
Commonwealth Financial Network
ConvergEx Execution Solutions LLC
Credit Suisse Securities
Deutsche Bank
E*Trade Financial Corporation
Edward Jones & Co
Equity Servces, Inc. (National Life)
ExamZone (V)
Exchange Analytics, Inc. (V)
Fidelity Investments
Foreside Financial Group
Guggenheim Securities, LLC
HSBC Securities (USA) Inc.
Huntington Investment Company, The
Icap Corporates Inc
Incapital LLC
ING Financial Markets LLC
ISM Planning & Production
Jane Street
Janney Montgomery Scott LLC
Jefferies LLC
JP Morgan Securities LLC
Kaplan (V)
Key Investment Services, LLC
KeyBanc Capital Markets
Legg Mason Investor Services LLC
Lincoln Investment Planning, Inc.
LPL Financial Services
M Holdings Securities, Inc.
Macquarie Capital USA
MassMutual
Mesirow Financial

MHD Consulting LLC
Morgan Stanley & Co. LLC
National Planning Corp
Nationwide Insurance
Neuberger Berman, LLC
New York Life Insurance Company
Nomura Securities International, Inc.
Northwestern Mutual
Oppenheimer & Co.
PJT Partners LP
Prudential Financial
Quasar Distributors, LLC
Quest CE (V)
Raymond James
Raymond James & Associates, Inc./Raymond James Financial Services
RBC Capital Markets, LLC
RBS Securities, Inc/NatWest Markets
RegEd
Renaissance Regulatory Services, Inc.
Robert W. Baird & Co.
Schwab
Scotia Capital (USA), Inc.
SD Daniels & Co P.C.
Securities Training Corporation (V)
SG Americas Securities, LLC
SMBC Nikko Securities America, Inc.
Stein & Stein, P.A.
Stephens Inc.
Stifel, Nicolaus & Company, Inc.
Sunset Financial Services, Inc.
T. Rowe Price Investment
TD Ameritrade
TD Securities (USA) LLC
The Blackstone Group
Thrivent Financial for Lutherans
TIAA - CREF Financial Services
Training Consultants (V)
UBS
ValMark Financial Group
Waddell & Reed, Inc.
Wells Fargo Advisors
Wells Fargo Securities, LLC