



Why Go It Alone?

Midwest Chapter Meetings this October!!!! ***RSVP by September 26th!***

Wednesday, October 2 – Hosted by Ameriprise
707 2nd Ave. S. Minneapolis, MN 55402

or

Thursday, October 3 – Hosted by Edward Jones
12555 Manchester Road, Des Peres, MO 63131

Join us in October for our second annual MW Chapter meeting to be held in partnership with FINRA. This year, thanks to two supportive member firms, we offer two locations!

Meeting will commence promptly at 8:30 AM with continental breakfast and lunch being provided. Anticipated end time will be 1:00 PM. Sample of topics to be discussed:

1. Current CRD Functionality (New Features to date) and discuss feedback on usage, observations, things not working the way firms want
2. Discuss Data Exploration and Data Collection – Broader Digital Experience Transformation (DXT) discussion and how do firms see these features impacting current processes
 - a. What would you like to see added to these features? What do you need from FINRA to successfully adopt these features?
3. Future Enhancements/Features – Start with a list of 3-4 features and get input from attendees; other ideas
4. Workflow and Electronic Authentication – How can FINRA help firms manage process through the system and eliminate the current wet signature
5. Insurance – Review initial concept of multi-step process to incorporate insurance data into the registration experience.
6. Update on CE transformation
7. What can ARM do for you? Brainstorm as well as share concerns with ARM representatives. Great opportunity for networking!!!

NO walk-ins. Space is limited.

Kindly RSVP by September 26th to:

Diane.E.Mountford@ampf.com

Please indicate whether you are attending Minneapolis or St. Louis event.