

For over 40 years, the Association of Registration Management, Inc. (ARM) holds an annual national educational conference, bringing together its members with regulators (FINRA, SEC, MSRB, SROs, States, NASAA, NFA, etc.) to learn about regulatory developments and rule changes that will affect registration and licensing. The ARM Conference is also a wonderful opportunity for the registration community to meet colleagues with common goals and to share ideas, as well as meet with various IT, Training, Compliance Services and Consulting vendors to gain an understanding of the latest technology and compliance programs and enhancements. It is also a conference that ARM exerts all efforts to maintain affordability for our members to attend, with the Registration fee at \$550 for members, as opposed to other conference fees of \$900-\$1,600.

ARM is an industry committee, in existence since 1975, whose primary purpose is to represent industry on issues that concern the registration and licensing functions for securities, commodities and insurance. ARM helps its members on information which may affect registration and licensing requirements and processes so that our members may comply with the rules, regulations and laws that govern firms and individuals who work in the securities industry. The Conference is just part of the services this industry committee commits to its members.

Through this conference and other avenues, we as members, are afforded wonderful opportunities to work with our colleagues, regulators and vendors in meeting our hefty compliance, regulatory and firm responsibilities, particularly at this time with all the many rule changes that have taken place!