

DON'T DELAY, TIME TO (RE)JOIN TODAY - MEMBERSHIP 2025-2026 NOW OPEN!

The Association of Registration Management, Inc. ("ARM") is a working organization existing for the primary purpose of representing the industry on issues that concern the registration and licensing functions for securities, commodities, swap dealers and on occasion, insurance.

ARM membership, for an annual fee of \$400, provides your firm with the opportunity to designate two firm contacts (emails) for receipt of our bulletins and notices, which can then be provided to all members of your firm, as you deem appropriate. All those associated with your firm are entitled to conference and event discounts. Our membership runs from April 1 to March 31 each year. ARM does not pro-rate membership fees. Members will receive an updated password to access our website after completing their 2025-2026 membership.

To complete your Firm's 2025-2026 Membership, by credit card <u>or</u> by check, click <u>here</u> (If paying by check, simply complete the online registration form and when you get to payment type, select by check.) This is a new registration process, that will occur annually, therefore <u>do NOT check the box</u> <u>"already registered" on the form.</u> You will receive an email as a confirmation from the Cvent Registration system for use for your accounting departments but if you require anything further, let us know at <u>armgmnt@armgmnt.org</u>.

Some of our membership benefits include the opportunity, through various events, to network with industry colleagues, vendors who specialize in education materials, testing, background screening and technology solutions along with our regulatory community. Where appropriate, the ARM Executive Committee submits comment letters on rule proposals that concern the registration process. ARM currently has over 80 firms who are members from the securities industry ranging from full-service broker dealers to small regional firms and insurance companies. ARM regularly communicates with its membership through email "Bulletins" which consist of significant and substantive information on regulatory changes and updates. ARM helps its members on matters which may affect registration and licensing requirements and processes so that our members may comply with the rules, regulations and laws that govern firms and individuals. We are here for you!

Annually, ARM holds an educational conference bringing together industry with vendors and regulators to learn about regulatory developments, rule changes and system enhancements that will affect registration and licensing. The ARM conference is a wonderful opportunity for the registration community to meet colleagues and regulators with common goals and to share best practices.

ARM hosts member-only conference calls to discuss regulatory changes, industry topics and best practices. We offer periodic networking events during the year and a holiday event in NYC in December. Event information can be found in the Conference/Events section on our website at <u>www.armgmnt.org</u> in February. Members will also receive details via email. **Member firms receive discounted registration fees for all these events. Be sure you are in good standing to get discounted rates!**