

MEMBER FIRMS

A.D. Banker & Company
ACA Foreside
Achievable
AE Wealth Management/AE Financial Services
Allspring Funds Distributor, LLC
Allstate
Ameriprise Financial
Baird
Bank of America
Barclays
BlackRock
BMO Capital Markets Corp
Cantor Fitzgerald & Company (134)
Capital Advantage Tutoring
Capital Client Group, Inc.
Cetera Financial Group
Charles Schwab
Citi
Colliers Securities LLC
Deutsche Bank Securities Inc.
DFP Regulatory Services LLC
Dimensional Fund Advisors
Edward Jones
Equitable
Evercore
Exchange Analytics
Federated Hermes, Inc.
Fidelity
Franklin Distributors, LLC
Frost Brokerage Services
Guggenheim Securities, LLC
Huntington Investment Company
ING Financial Markets LLC
J.P. Morgan Securities LLC
J.W. Cole Financial, Inc.
Jane Street
Janney Montgomery Scott
Key Investment Services LLC
KeyBanc Capital Markets

LPL Financial
Macquarie Capital
MassMutual / MML Investors Services, LLC
Mesirow
Mizuho Securities USA, LLC
Moelis & Co LLC
Morgan Stanley
Natixis Securities Americas
NatWest Markets Securities Inc.
Neuberger Berman BD LLC
Nomura Securities International, Inc.
Northwestern Mutual
OPPENHEIMER & CO. INC.
Performance Trust Capital Partners, LLC
Pershing LLC
PFS Investments
Piper Sandler & Co.
PJT Partners
Raymond James Financial Inc
RBC Capital Markets, LLC
RegEd
Renaissance Regulatory Services, Inc.
Robinhood
Scotia Capital (USA) Inc.
Securities Training Corporation
Societe Generale
Stephens Inc.
Stifel
T. Rowe Price
TD Securities (USA) LLC
The Vanguard Group
Thrivent
TIAA-CREF Individual & Institutional Services, LLC
TradeStation Securities, Inc.
Truist
UBS Financial Services